

# NEW TOTAL SYNTHESIS OF *dl*-PHYSOSTIGMINE (*dl*-ESERINE) VIA REGIOSELECTIVE NaBH<sub>4</sub>-REDUCTION OF IMIDES<sup>1</sup>

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**Abstract**—The regioselective NaBH<sub>4</sub>/H<sup>+</sup> reduction of  $\alpha,\alpha$ -disubstituted succinimides offers a method for a short and stereoselective total synthesis of *dl*-physostigmine in which the key-step is the formation of the B-ring via amine substitution on a  $\omega$ -carbinol-lactam.

Physostigmine (eserine) **1a**,<sup>3</sup> the principal alkaloid of the Calabar bean, was synthesized<sup>4</sup> only 70 years after its discovery (1864).<sup>5</sup> To-day a number of syntheses has been reported,<sup>6</sup> all of these starting from an indole type precursor to which ring C is attached. Despite its relatively simple structure, however, the construction of the basis skeleton presents some remarkable difficulties among which the dinitrogen aminal structure is prominent.

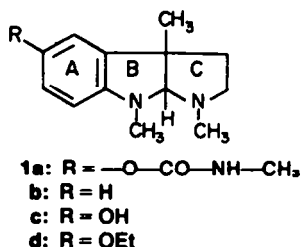


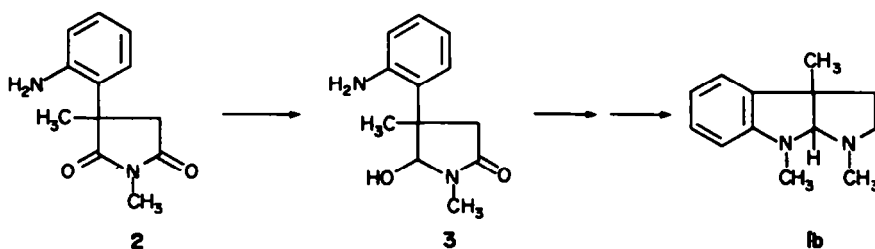
Fig. 1.

As was the case in the syntheses of *dl*-mesembrine and *dl*-(*epi*)-dihydromaritidine<sup>7</sup> our interest in physostigmine **1a** arose after finding that the NaBH<sub>4</sub>/H<sup>+</sup> reduction of  $\alpha,\alpha$ -disubstituted succinimides proceeds in a highly regioselective manner.<sup>8</sup> Because of the presence of a *cis*-fused pyrrolidine ring in the skeleton of physostigmine **1a** the abovementioned principle could be applied in a new total synthesis of this alkaloid according to a simple pattern outlined below (Scheme 1).

Thus regioselective NaBH<sub>4</sub>/H<sup>+</sup> reduction of the imide **2**, resulting in the corresponding  $\omega$ -carbinol-lactam **3** should give after ring-closure, methylation and reduction the physostigmine skeleton **1b**. The planned synthesis in which the B ring of **1** is constructed in a final step constitutes a new procedure in the synthesis of this class of compounds. To test the latter approach the synthesis of *dl*-desoxyeseroline **1b** was chosen as our first target.

As given in Scheme 1 the central compound in the proposed route is 1,3-dimethyl-3-(2-aminophenyl)-succinimide **2** which could possibly be prepared via catalytic hydrogenation of the corresponding NO<sub>2</sub> derivative **9**. A similar transformation **6**→**7** was reported by Askam and Deeks.<sup>9</sup> The synthesis of **9** was realized in the following manner. A Knoevenagel condensation of 2-nitrobenzaldehyde and diethyl malonate by means of TiCl<sub>4</sub>,<sup>10</sup> followed by cyanide addition and hydrolysis led to the amido-diester **4**.<sup>11</sup> However, acid hydrolysis and decarboxylation of **4** gave in contrast with the results of Loudon and Welling<sup>11</sup> a mixture of dicarboxylic acid **5** and imide **6**. Furthermore the preparation of the imide **8** starting from **5** did not proceed satisfactorily (yield: 10–36%). Presumably because of the presence of the NO<sub>2</sub> group decomposition and resinification was observed at the temperature required for conversion. A more satisfactory result was obtained when the amido-diester **4** was heated in wet DMSO in the presence of NaCl. This adaption of a recently described method for decarboxylation of malonates<sup>12</sup> provided the imide **6** in 61% yield.

N-Methylation leading to **8**, followed by C-methylation or direct dimethylation under the latter circumstances of **6** afforded the desired imide **9** in good yield. The catalytic



Scheme 1.

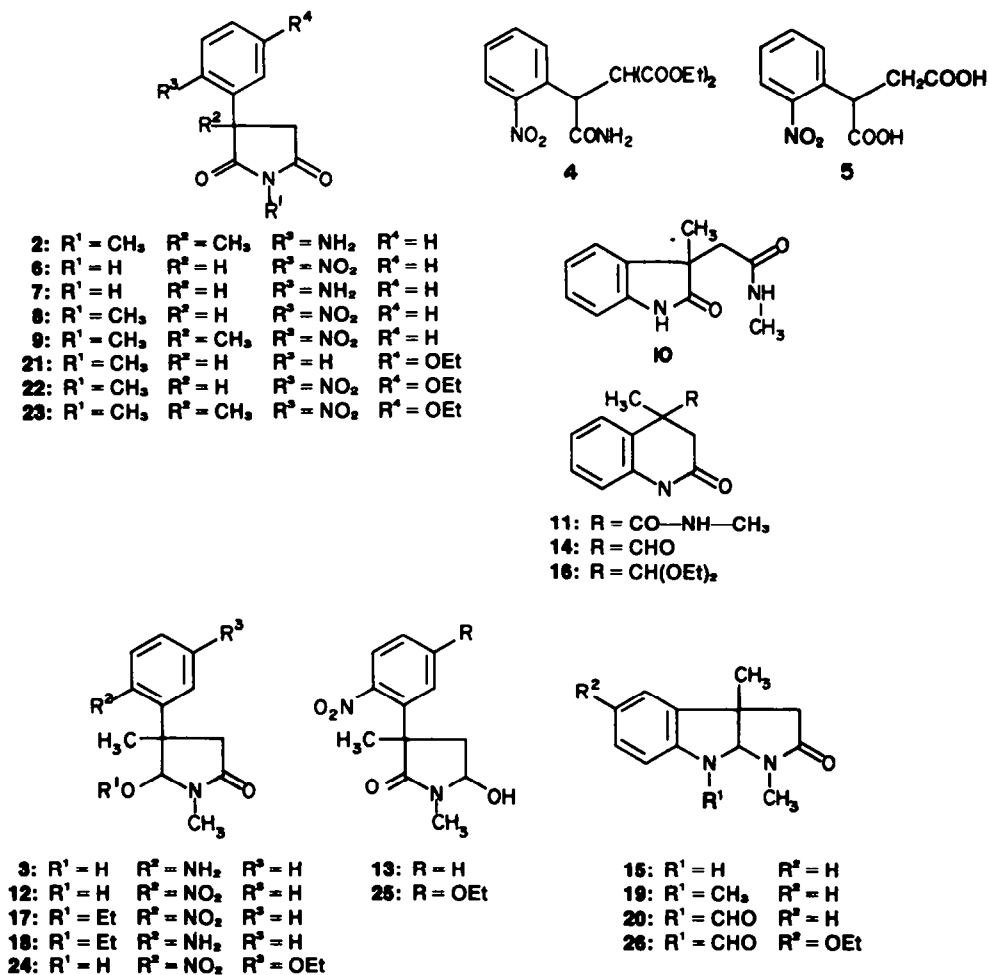


Fig. 2.

hydrogenation of 9→2 however, could not be accomplished. Instead of imide 2 a mixture of 2 compounds in a ratio 1:4, to which the structures 10 and 11 were attributed, was obtained. Its formation could probably result from the attack of the newly formed  $\text{NH}_2$  group on both imide CO during the hydrogenation process.

Therefore the  $\text{NaBH}_4/\text{H}^+$  reduction was directly applied to imide 9. As was reported earlier<sup>8</sup> this reduction method proceeded regioselectively and the corresponding  $\omega$ -carbinol-lactam 12 was isolated in 72% yield. In contrast with the catalytic hydrogenation of imide 9, the analogous reaction applied to 12 showed no ring opening and the desired  $\omega$ -carbinol-lactam 3 was obtained in quantitative yield. At this stage the crucial step in the synthesis of the physostigmine skeleton, the formation of the B ring, was expected to proceed upon treatment with acid of 3. Because of the possibility that protonation of the  $\text{NH}_2$  group in strong acid will retard the B ring formation a weakly acid silica-alumina catalyst<sup>13</sup> was chosen. However, upon treatment at reflux of 3 in a dioxane/ $\text{H}_2\text{O}$  mixture to which the catalyst was added the sole product obtained after chromatography in 65% yield possessed a  $M^+$  peak at  $m/e = 189$  in the MS indicating the loss of methylamine during the latter reaction. According to  $^1\text{H}$  NMR and IR the latter compound proved to be the aldehyde 14. A slightly different behaviour was noted upon refluxing of 3 in  $\text{C}_6\text{H}_6/p$ -

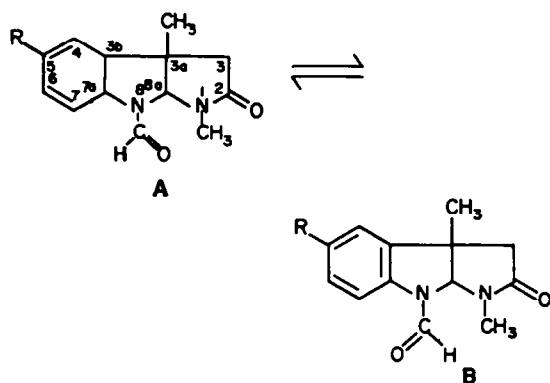
$\text{TsOH}$ . Work-up and chromatography gave the aldehyde 14 (42%) together with the desired tricyclic compound 15 (30%). Upon treatment of 3 with  $\text{HCl}/\text{EtOH}$  at reflux the yield of 15 decreased (20%), the bulk of the remaining material being a mixture of aldehyde 14 and its diethyl acetal 16. Because of the rather disappointing yield of 15 the procedure was somewhat modified. Instead of 3 the corresponding ethoxy derivative 18 (prepared from 12 via  $\text{HCl}/\text{EtOH}$  treatment at  $0^\circ$ , giving 17 and subsequent catalytic hydrogenation of the latter compound) was used in the ring closure reaction anticipating that this change would suppress the formation of the aldehyde 14. Indeed upon treatment of 18 with  $\text{HCl}/\text{EtOH}$  at reflux the yield of 15 was considerably higher (65%) as compared with 3 as a reference material.

The observed difference in behaviour of 3 and 18 upon treatment with acid is tentatively explained by assuming an interaction between the OH and  $\text{NH}_2$  moiety of 3 which renders the elimination of  $\text{H}_2\text{O}$  and thus the formation of the  $\alpha$ -acylimmonium intermediate more difficult. Some support for the possible  $\text{OH}-\text{NR}_2$  interaction was derived from conversion experiments of OH into OEt. In case of 3 and other comparable systems such as 1 - methyl - 4 - phenyl - 4 - (1 - morpholinomethyl) - 5 - hydroxy - 2 - pyrrolidinone<sup>8</sup> the conversion of OH into OEt did not proceed under standard conditions.

A similar interaction is not possible in case of **18** so that formation of the B ring leading to **15** is preferred.

The synthesis of *dl*-desoxyeseroline **1b** was completed by  $N_8$ -methylation<sup>14</sup> of **15**, which afforded **19** in 63% yield, followed by LAH reduction of the latter compound. Although the followed route indeed led to *dl*-desoxyeseroline **1b** the approach was rather lengthy. Therefore a shorter reaction sequence was developed in which the cyclisation step and introduction of the  $N_8$ -substituent occurred simultaneously. Thus upon treatment of **3** with acetic formic anhydride at 0° the cyclized  $N_8$ -formyl compound **20** was formed in high yield. According to <sup>1</sup>H NMR (CDCl<sub>3</sub>) this compound consisted of a mixture of two rotamers **20A** and **20B** in a ratio 3:1 (Table 1). The signal of the downfield aromatic H ( $\delta$  = 8.02) integrated for about 25% of 1 proton indicating that **20A** was the predominant configuration. The formyl proton gave rise to signals at  $\delta$  8.70 for **20B** and at  $\delta$  9.00 for **20A**.

Table 1. Chemical shifts (<sup>1</sup>H in ppm) of compound **20** and **26**



	<b>20</b> : R = H <b>A</b> : <b>B</b> = 76:24		
	<b>26</b> : R = OEt <b>A</b> : <b>B</b> = 65:35		
	<b>20A</b> †	<b>20B</b> †	<b>26A</b> †‡ <b>26B</b> †‡
CH <sub>3</sub> -N(1)	2.96(s)	2.97(s)	2.95(s)
H <sub>2</sub> C(3)	2.56-3.03		2.54-2.95
CH <sub>2</sub> -C(3a)	1.51(s)	1.52(s)	1.51(s)    1.49(s)
aromatic H	7.06-7.40		6.72-7.17
H <sub>C</sub> (7)		8.02(m)	7.90(m)
CHO-N(8)	9.00(s)	8.70(s)	8.88(s)    8.62(s)
H <sub>C</sub> (8a)	5.75(s)	5.29(s)	5.75(s)    5.28(s)

†Measured in CDCl<sub>3</sub>.

‡The chemical shifts of the protons of the ethoxy-group were found at  $\delta$  1.41(t) and  $\delta$  4.01(q).

The observations are a consequence of hindered rotation about the N-C bond owing to its partial double bond character which leads to steric interaction. Similar results were reported for the simple 1-formyl-indoline molecule.<sup>15</sup> Further stereochemical proof of **20** was made upon examination of the nuclear Overhauser effect (NOE).<sup>16</sup> Irradiation of the C<sub>3a</sub>-CH in <sup>1</sup>H NMR (CDCl<sub>3</sub>) increased the integrated area of C<sub>8a</sub>-H (18.4%) indicating the proximity of C<sub>3a</sub>-CH<sub>3</sub> and C<sub>8a</sub>-H and thus proving its *cis* relation. Finally LAH reduction of **20** afforded *dl*-desoxyeseroline **1b** in 77% yield.

The procedure outlined above was also employed in the synthesis of *dl*-physostigmine **1a**. However, contrary to the preparation of **8** the synthesis of the imide **22** possessing the C<sub>3</sub> aromatic substituent was achieved

according to standard methods. In order to avoid the difficulties encountered in the preparation of imide **8** the introduction of the 2-NO<sub>2</sub> group was performed in the final step. Thus according to standard methods 3-ethoxybenzaldehyde was transformed to 1-methyl-3-(3-ethoxyphenyl)-succinimide **21**, which was nitrated with fuming HNO<sub>3</sub> thereby affording a mixture of the required imide **22** and the corresponding 3-ethoxy-4-nitrophenyl derivative in a ratio 65:35, respectively. After chromatography and crystallization imide **22** was obtained in 40% yield. The synthesis of *dl*-physostigmine **1a** was finally completed by methods described previously for the synthesis of *dl*-desoxyeseroline **1b**.

Thus methylation of **22** followed by NaBH<sub>4</sub>/H<sup>+</sup> reduction of the so-formed imide **23** afforded according to <sup>1</sup>H NMR (CDCl<sub>3</sub>) a mixture of **24** and **25** in a ratio 3:1. After crystallization from EtOAc a 60% yield of pure **24** was obtained. Conversion of **24** into the corresponding tricyclic  $N_8$ -formyl compound **26** was achieved in 74% yield after catalytic hydrogenation and subsequent treatment with acetic formic anhydride at 0°. Again the latter compound according to <sup>1</sup>H NMR (CDCl<sub>3</sub>) consisted of a mixture of two rotamers **26A** and **26B** in a ratio 65:35 (Table 1). Completion of the synthesis of *dl*-physostigmine **1a** required a conversion of **26** into *dl*-eserethole **1d** which was accomplished in 75% yield by reduction of **26** with LAH. Having obtained *dl*-eserethole **1d** the total synthesis of **1a** was secured since conversion of **1d** into *dl*-physostigmine **1a** (via *dl*-eseroline **1c**) has been described.<sup>4,17</sup>

#### EXPERIMENTAL

All m.ps are uncorrected. IR spectra were determined on Unicam SP-200 or Perkin-Elmer 257 instruments. The absorptions are located by their wave numbers (in cm<sup>-1</sup>). <sup>1</sup>H NMR spectra were measured with a Varian A-60D, HA-100 or XL-100 spectrometer using TMS as internal reference. The abbreviations used are as follows: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet. Mass spectra were recorded on an AEI MS-902 or Varian Mat-711 mass spectrometer. Analyses were performed by Mr. H. Pieters of the Micro-analytical Department of our laboratory. Column chromatography was carried out on silicagel (activity grade II, Woelm). Pre-coated TLC Plates silicagel 60 F254 Merck were used for TLC, the spots being detected by exposure to iodine vapour.

*Diethyl α-carbamoyl-α-(2-nitrobenzyl)-malonate* **4** was prepared according to the method described<sup>11</sup> from diethyl 2-nitrobenzylidenemalonate. The latter compound was prepared from 2-nitrobenzaldehyde according to the method described.<sup>10</sup>

*2-Nitrophenylsuccinic acid* **5**. A soln of **4** (17.00 g, 50.3 mmole) in conc. HCl (500 ml) and HOAc (50 ml) was heated at reflux for 3 hr and concentrated *in vacuo*. The solid separated showed on TLC (silicagel, CHCl<sub>3</sub>/acetone 4/1) 2 spots with R<sub>f</sub> 0.30 and 0.01. Column chromatography on silicagel with CHCl<sub>3</sub>/acetone 4/1 as an eluent afforded the fraction with R<sub>f</sub> 0.30 which consisted of **6**. Crystallization from EtOAc yielded 1.87 g of pure **6** (17%). Further elution gave the fraction with R<sub>f</sub> 0.01 as a solid, which was crystallized from H<sub>2</sub>O affording 7.19 g of pure **5**, yield: 60%; m.p. 182-184° (H<sub>2</sub>O) (lit. 175°, 188°<sup>11</sup>).

*3-(2-Nitrophenyl)-succinimide* **6**. A mixture of **4** (5.82 g, 17.22 mmole), NaCl (1.30 g) and H<sub>2</sub>O (1.25 g) in DMSO (25 ml) was heated at 145-148° for 7 hr. The mixture was poured into H<sub>2</sub>O (200 ml) and extracted with CHCl<sub>3</sub> (4 × 50 ml). The combined organic layers were washed with sat NaCl aq, dried over Na<sub>2</sub>SO<sub>4</sub> and filtered. Evaporation of the filtrate afforded a brown oil, which showed one main spot on TLC (silicagel, CHCl<sub>3</sub>/acetone 4/1) with R<sub>f</sub> 0.30. Column chromatography on silicagel with CHCl<sub>3</sub>/acetone 4/1 as an eluent afforded the fraction with R<sub>f</sub> 0.30 as a yellow solid (2.82 g), which according to <sup>1</sup>H NMR (CDCl<sub>3</sub>) was nearly pure **6**. Crystallization from EtOAc

gave 2.32 g of pure 6; yield: 61%; m.p. 146–148° (EtOAc) (lit. 142–143°).

#### 1-Methyl-3-(2-nitrophenyl)-succinimide 8

From 6. To a mixture of 6 (1.85 g, 8.41 mmole) in  $\text{CH}_2\text{Cl}_2$  (100 ml) was added an excess of a freshly prepared soln of  $\text{CH}_3\text{N}_2$  in ether<sup>19</sup> at 0°. The mixture was stirred at 0° for 3 hr and an additional period of 18 hr at r.t. Evaporation of the solvent afforded a yellow solid (1.95 g) which was crystallized from EtOH to give 1.64 g of pure 8, yield: 83%; m.p. 122–124° (EtOH). IR( $\text{CHCl}_3$ ): 1770 (w), 1700 (vs) (imide-CO), 1530 (s), 1350 (s) ( $\text{NO}_2$ );  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  2.60–3.40 (2H,  $-\text{CH}_2-\text{CO}$ ), 3.04 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 4.41 (1H,  $-\text{CO}-\text{CH}_2-\text{Ar}$ ), 7.20–7.70 (3H, aromatic H), 8.05 (1H, aromatic H). (Found: C, 56.5; H, 4.4; N, 11.9. Calc. for  $\text{C}_{11}\text{H}_{10}\text{N}_2\text{O}_4$ , M = 234.21; C, 56.41; H, 4.30; N, 11.96%).

From 5. A mixture of 5 (7.18 g, 30.17 mmole) in  $\text{SOCl}_2$  (25 ml) was heated at reflux for 1.5 hr. Evaporation to dryness afforded a yellow solid which was dissolved in 35% methylamine soln in  $\text{H}_2\text{O}$  (25 ml). After stirring at r.t. for 30 min. the mixture was diluted with  $\text{H}_2\text{O}$  (25 ml) and acidified with conc HCl. The formed solid was filtered off and dried *in vacuo* (5.71 g). 2.76 g of the latter solid was taken up in xylene (250 ml) and the mixture was refluxed for 17 hr using a Dean and Stark apparatus filled with molecular sieves 4A. Evaporation of the solvent afforded a dark brown oil which was chromatographed on silicagel with  $\text{CHCl}_3$  as an eluent yielding 1.33 g of crude 8. Crystallization from EtOH gave 1.07 g of pure 8.

1,3-Dimethyl-3-(2-nitrophenyl)-succinimide 9. To a soln of 6 (2.70 g, 12.27 mmole) in DMF (50 ml) was added  $\text{K}_2\text{CO}_3$  (5.0 g) at 0°. After 45 min of stirring at 0° a soln of MeI (5 ml) in DMF (10 ml) was added dropwise. The mixture was stirred at 0° for 90 hr, poured into  $\text{H}_2\text{O}$  (250 ml) and extracted with EtOAc (3 × 50 ml). The combined organic layers were washed with sat NaCl aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate afforded a yellow solid. Crystallization from EtOH gave 2.58 g of pure 9, yield: 85%; m.p. 133–135° (EtOH). IR( $\text{CHCl}_3$ ): 1770(w), 1700(vs) (imide-CO), 1530(s), 1350(s) ( $\text{NO}_2$ );  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  1.78 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.98 (AB system, J = 17.5 Hz, 2H,  $-\text{CH}_2-\text{CO}$ ), 3.05 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 7.20–7.99 (4H, aromatic H). (Found: C, 58.1; H, 5.0; N, 11.4. Calc. for  $\text{C}_{12}\text{H}_{11}\text{N}_2\text{O}_4$ , M = 248.23; C, 58.06; H, 4.87; N, 11.29%). With a similar procedure, 8 afforded 9 in about equal yield.

Catalytic hydrogenation of 9. Compound 9 (0.78 g, 3.15 mmole) was hydrogenated in EtOH (150 ml) over 10% Pd/C (0.10 g) for 2 hr. The catalyst was removed by filtration and the filtrate evaporated. The residual solid (0.68 g) was according to  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ ) a mixture of two compounds in a ratio 1:4. Attempts to separate the mixture via chromatography were unsuccessful. Crystallization from acetone afforded one of the compounds in pure form; m.p. 213–218° (acetone). IR(KBr): 3300(s) (NH), 1700(vs), 1650(vs), 1570(s) (amide-CO);  $^1\text{H}$  NMR:  $\delta(\text{DMSO}-d_6)$  1.24 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.42 (d, J = 5 Hz, 3H,  $-\text{NH}-\text{CH}_3$ ), 2.60 (AB system, J = 14.5 Hz, 2H,  $-\text{CH}_2-\text{CO}$ ), 6.70–7.30 (4H, aromatic H), 7.62 (broad s, 1H,  $-\text{NH}_2$ ), 10.20 (broad s, 1H,  $-\text{NH}_2$ ). (Found: C, 66.1; H, 6.5; N, 12.7. Calc. for  $\text{C}_{12}\text{H}_{14}\text{N}_2\text{O}_2$ , M = 218.25; C, 66.03; H, 6.47; N, 12.84%).

1,4-Dimethyl-4-(2-nitrophenyl)-5-hydroxy-2-pyrrolidinone 12. Compound 9 (3.10 g, 12.50 mmole) was reduced in a mixture of EtOH (250 ml) and THF (50 ml) with 3.8 g NaBH<sub>4</sub> at 0° for 4.5 hr as described.<sup>9</sup> The crude product was a mixture of 12 and 13 in a ratio 5:1. Purification via crystallization afforded 12 in 72% yield.

1,4-Dimethyl-4-(2-nitrophenyl)-5-ethoxy-2-pyrrolidinone 17. To an ice-cooled soln of 12 (0.22 g, 0.88 mmole) in EtOH (70 ml) 4N HCl/EtOH (1 ml) was added. The mixture was stirred at 0° for 2 hr after which the solvent was evaporated. According to  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) the residual solid (0.245 g) was pure 17; yield: 100%; m.p. 113–116° (di-isopropylether). IR( $\text{CHCl}_3$ ): 1690(vs) (lactam-CO), 1530(s), 1360(s) ( $\text{NO}_2$ );  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  0.98 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 1.51 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.62 (A part AB system, J = 16 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 2.99 (B part AB system, J = 16 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 3.04 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 3.32 (m, J = 7 Hz, 2H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 4.85 (s, 1H,  $-\text{N}-\text{CH}-\text{OEt}$ ), 7.24–7.83 (4H, aromatic H). (Found: C, 60.3; H, 6.4. Calc. for  $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_4$ , M = 278.30; C, 60.42; H, 6.52%).

1,4-Dimethyl-4-(2-aminophenyl)-5-hydroxy-2-pyrrolidinone 3. Compound 12 (0.23 g, 0.92 mmole) was hydrogenated in EtOH (100 ml) over 10% Pd/C (0.10 g) for 1 hr. The catalyst was removed by filtration and the filtrate evaporated. The residual solid (0.20 g) was according to  $^1\text{H}$  NMR nearly pure 3, yield >95%; m.p. 100–102° (toluene). IR(KBr): 3500(m), 3400(m), 3300(m) (NH and OH), 1680(vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{DMSO}-d_6)$  1.22 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.64 (AB system, J = 16 Hz,  $-\text{CH}_2-\text{CO}$ ), 2.66 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 5.12 (3H,  $-\text{NH}_2$  and  $-\text{N}-\text{CH}_2-\text{OH}$ ; becomes a s with  $\text{D}_2\text{O}$  added), 6.37–7.13 (5H, aromatic H and  $-\text{OH}$ ; 1H disappears with  $\text{D}_2\text{O}$  added). MS:  $m/e$  = 78(65%), 160(100), 161(55), 202(64), 220(9) M<sup>+</sup>. (Found: C, 65.6; H, 7.5. Calc. for  $\text{C}_{12}\text{H}_{14}\text{N}_2\text{O}_2$ , M = 220.26; C, 65.43; H, 7.32%).

4-Formyl-4-methyl-1,2,3,4-tetrahydro-quinolin-2-one 14. Compound 12 (0.32 g, 1.28 mmole) was hydrogenated over 10% Pd/C (0.17 g) as described. The residual oil was dissolved in a mixture of dioxane (10 ml) and  $\text{H}_2\text{O}$  (4 ml). Silica-alumina catalyst<sup>13</sup> (0.15 g) was added after which the mixture was heated at reflux for 1 hr. The mixture was diluted with  $\text{H}_2\text{O}$  (25 ml) and extracted with  $\text{CHCl}_3$  (3 × 25 ml). The combined organic layers were washed with sat NaCl aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate afforded a brown oil (0.27 g) which showed one main spot on TLC (silicagel, EtOAc) with  $R_f$  0.45. Column chromatography on silicagel with EtOAc as an eluent gave 0.16 g of the fraction with  $R_f$  0.45 which consisted of pure 14; yield: 65%; m.p. 154–156° (EtOAc). IR( $\text{CHCl}_3$ ): 1720(vs) (aldehyde-CO), 1680 (vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  1.48 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.49 (A part AB system, J = 16.5 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 2.95 (B part AB system, J = 16.5 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 6.90–7.37 (4H, aromatic H), 9.40 (s, 1H,  $-\text{CHO}$ ), 9.74 (broad s, 1H,  $-\text{NH}$ ). (Found: C, 69.9; H, 5.9; N, 7.4. Calc. for  $\text{C}_{11}\text{H}_{11}\text{NO}_2$ , M = 189.21; C, 69.82; H, 5.86; N, 7.40%).

1,3a,8-Trimethyl-3,3a,8,8a-tetrahydropyrrolo[2,3-b]-indol-2-one 15. To a soln of 3 (0.19 g, 0.86 mmole) in EtOH (50 ml) 4N HCl/EtOH (1 ml) was added after which the mixture was refluxed for 2 hr. Evaporation of the solvent afforded an oil which was dissolved in  $\text{CHCl}_3$  (50 ml). The latter soln was washed with sat  $\text{NaHCO}_3$  aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate gave an oil which showed 2 spots on TLC (silicagel, EtOAc) with  $R_f$  0.45 and 0.13. Column chromatography on silicagel with EtOAc as an eluent afforded the fraction with  $R_f$  0.45 as a white solid (0.11 g) which according to  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) was a mixture of 14 and 16 in a ratio 1:4. Crystallization from EtOAc gave pure 16 (0.052 g); yield: 23%; m.p. 148–151° (EtOAc). IR( $\text{CHCl}_3$ ): 3450(w) (NH), 1670(vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  0.96 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 1.04 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 1.29 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.34 (A part AB system, J = 16.5 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 2.80 (B part AB system, J = 16.5 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 2.92–3.82 (m, J = 7 Hz, 4H,  $2 \times -\text{O}-\text{CH}_2-\text{CH}_3$ ), 4.21 (s, 1H,  $-\text{CH}-\text{OEt}$ ), 6.67–7.53 (4H, aromatic H), 9.20 (broad s, 1H,  $-\text{NH}$ ). (Found: C, 68.5; H, 8.0. Calc. for  $\text{C}_{15}\text{H}_{17}\text{NO}_3$ , M = 263.33; C, 68.41; H, 8.04%). Further elution gave the fraction with  $R_f$  0.13 as a pink solid (0.035 g) which according to  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) was pure 15; yield: 20%; m.p. 123–126° (ether). IR(KBr): 3300(m) (NH), 1660(vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  1.46 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.70 (AB system, J = 17 Hz, 2H,  $-\text{CH}_2-\text{CO}$ ), 2.85 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 4.65 (broad s, 1H,  $-\text{NH}$ ), 4.88 (s, 1H,  $-\text{N}-\text{CH}-\text{N}$ ), 6.58–7.20 (4H, aromatic H). (Found: C, 71.3; H, 7.0. Calc. for  $\text{C}_{12}\text{H}_{14}\text{N}_2\text{O}$ , M = 202.25; C, 71.26; H, 6.98%).

In a similar experiment starting from 17 (0.23 g, 0.83 mmole) hydrogenation over 10% Pd/C (0.11 g), as described for 12, afforded a colourless oil (0.20 g). Without further purification the oil was dissolved in EtOH (50 ml) and treated with 4N HCl/EtOH (1 ml) at reflux for 2 hr. Work-up as described above gave a mixture of 14, 15 and 16. After column chromatography on silicagel with EtOAc as an eluent 0.060 g of a mixture of 14 and 16 in a ratio 1:4 was obtained. Further elution afforded 0.107 g of pure 15; yield: 65%.

Refluxing of a soln of 3 in  $\text{C}_6\text{H}_6$ , to which 1.1 eq p-TsOH was added, for 2 hr and column chromatography afforded 14 and 15 in 42 and 30% yield respectively.

1,3a,8-Trimethyl-3,3a,8,8a-tetrahydropyrrolo[2,3-b]-indol-2-one 19. To a soln of 15 (0.224 g, 1.11 mmole) in MeCN (5 ml) was added a soln of 37% formaldehyde in  $\text{H}_2\text{O}$  (1 ml). The

mixture was stirred at r.t. for 30 min after which  $\text{NaBH}_3\text{CN}$  (0.36 g) and  $\text{HOAc}$  (0.2 ml) was added. After stirring at r.t. for 2 hr again  $\text{HOAc}$  (0.2 ml) was added and stirring was continued at r.t. for an additional 1.5 hr. The mixture was diluted with ether (75 ml), washed with 5%  $\text{NaOH}$  soln (25 ml) and sat  $\text{NaCl}$  aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate afforded a pale yellow oil (0.24 g) which was chromatographed on silicagel with  $\text{EtOAc}$  as an eluent giving 0.152 g of pure **19**; yield: 63%. The analytical data were in all respects identical with those reported.<sup>6</sup>

**1,3a - Dimethyl - 8 - formyl - 3,3a,8,8a - tetrahydro - pyrrolo[2,3-b]indol - 2 - one 20.**† Compound **12** (0.496 g, 1.98 mmole) was hydrogenated over 10%  $\text{Pd/C}$  (0.22 g) as described before. The residual oil (0.44 g) was dissolved in  $\text{CHCl}_3$  (25 ml) and cooled to 0° after which 5 ml of acetic formic anhydride<sup>20</sup> was added dropwise. The soln was stirred at 0° for 3 hr. Evaporation of the solvent afforded an oil which was dissolved in  $\text{CHCl}_3$  (60 ml). The soln was washed with 5%  $\text{Na}_2\text{CO}_3$  (2 × 15 ml) and sat  $\text{NaCl}$  aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate afforded a pale brown oil (0.45 g). Column chromatography on silicagel with  $\text{EtOAc}$  as an eluent gave 0.35 g of pure **20**; yield: 76%; m.p. 127–129° ( $\text{CH}_2\text{Cl}_2$ /light petroleum (b.p. 80–100°)). IR( $\text{CHCl}_3$ ): 1690(vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  (Table 1). (Found: C, 67.7; H, 6.2; N, 12.1. Calc. for  $\text{C}_{13}\text{H}_{14}\text{N}_2\text{O}_2$ , M = 230.26: C, 67.81; H, 6.13; N, 12.17%).

#### *dl*-Desoxyseroline **1b**

(a) From **20**. To a soln of **20** (0.156 g, 0.68 mmole) in dry ether (25 ml) LAH (0.20 g) was added. The mixture was refluxed for 2 hr. After cooling the excess of LAH was destroyed by adding  $\text{H}_2\text{O}$  (1 ml), the inorganic substance was removed by filtration. The filtrate was dried over  $\text{Na}_2\text{SO}_4$ , filtered and evaporated giving a yellow oil (0.130 g). Column chromatography on silicagel with  $\text{CHCl}_3$ /acetone 1/1 as an eluent afforded 0.106 g of pure **1b** as an oil; yield: 77%. IR( $\text{CHCl}_3$ ): 1600(m), 1500(s) (aromatic);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$ , 1.45 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 1.98 (m, 2H,  $-\text{C}-\text{CH}_2-\text{C}-$ ), 2.57 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 2.69 (m, 2H,  $-\text{N}-\text{CH}_2-$ ), 2.96 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 4.12 (s, 1H,  $-\text{N}-\text{CH}-\text{N}-$ ), 6.35–7.18 (4H, aromatic H). A picrate of m.p. 178–181° ( $\text{EtOH}$ ) (lit. 175°<sup>6</sup> 179–180°<sup>21</sup>) was analyzed. (Found: C, 53.1; H, 5.0; N, 16.3. Calc. for  $\text{C}_{19}\text{H}_{21}\text{N}_5\text{O}_7$ , M = 431.40: C, 52.90; H, 4.91; N, 16.24%).

(b) From **19**. Compound **1b** was prepared from **19** (0.124 g, 0.57 mmole) as described;<sup>6</sup> yield: 63%. The analytical data were identical to those mentioned above.

**1 - Methyl - 3 - (3 - ethoxyphenyl) - succinimide 21.** A mixture of ethyl  $\alpha$ -cyano-3-ethoxy cinnamate (18.05 g, 73.67 mmole) (prepared in 92% yield from 3-ethoxybenzaldehyde<sup>22</sup> and ethyl cyanoacetate according to the procedure described<sup>23</sup>) and KCN (10.72 g, 165.0 mmole) in MeOH (75 ml) was refluxed for 30 min. The mixture was poured into  $\text{H}_2\text{O}$  (100 ml), slowly acidified with 10%  $\text{HCl}$  and extracted with ether (3 × 100 ml). The combined extracts were washed with sat  $\text{NaCl}$  aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate afforded a yellow oil which was dissolved in conc  $\text{HCl}$  (100 ml) and refluxed for 4 hr. The mixture was cooled to 0° and the ppt was filtered off, washed with ice-water and dried *in vacuo* at 80°, yielding 15.5 g of a white solid which was dissolved in  $\text{AcCl}$  (60 ml) and refluxed for 2 hr. Evaporation to dryness afforded a yellow solid (12.39 g). IR( $\text{CHCl}_3$ ): 1860(w), 1780(vs) (anhydride-CO); yield: 76%, which without further purification was treated with  $\text{CH}_3\text{NH}_2$  according to the procedure described<sup>24</sup> yielding 11.47 g of pure **21**; m.p. 97–99° ( $\text{EtOH}$ ). IR( $\text{CHCl}_3$ ): 1770(w), 1700(vs) (imide-CO);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$ , 1.35 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 2.47–3.41 (2H,  $-\text{CH}_2-\text{CO}$ ), 3.00 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 3.80–4.20 (q, J = 7 Hz, 2H,  $-\text{O}-\text{CH}_2-\text{CH}_3$  and 1H,  $-\text{CO}-\text{CH}-\text{Ar}$ ), 6.63–7.42 (4H, aromatic H). (Found: C, 67.0; H, 6.5. Calc. for  $\text{C}_{13}\text{H}_{15}\text{NO}_3$ , M = 233.26: C, 66.93; H, 6.48%).

**1 - Methyl - 3 - (2 - nitro - 5 - ethoxyphenyl) - succinimide 22.** To a soln of **21** (4.72 g, 20.27 mmole) in  $\text{HOAc}$  (20 ml) fuming

$\text{HNO}_3$  (d ca. 1.5) (10 ml) was added dropwise quickly. The temp. rose to 70°. After stirring for 1 hr the mixture was poured into ice-water and extracted with  $\text{CHCl}_3$ . The organic layer was washed with 10%  $\text{KOH}$  aq and sat  $\text{NaCl}$  aq, dried over  $\text{Na}_2\text{SO}_4$  and filtered. Evaporation of the filtrate afforded a pale yellow oil (4.95 g) which according to  $^1\text{H}$  NMR( $\text{CDCl}_3$ ) was a mixture of **22** and the corresponding 3-ethoxy-4-nitrophenyl derivative in a ratio of 65:35. Column chromatography on silicagel with ether as an eluent afforded 2.76 g of pure **22** as a pale yellow oil which solidified upon standing. Crystallization from  $\text{EtOH}$  gave 2.30 g of crystalline **22**; yield: 40% m.p. 127–129° ( $\text{EtOH}$ ). IR( $\text{CHCl}_3$ ): 1770(w), 1700(vs) (imide-CO), 1520(s), 1340(s) ( $\text{NO}_2$ );  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$ , 1.45 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 2.62–3.41 (2H,  $-\text{CH}_2-\text{CO}$ ), 3.09 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 4.11 (q, J = 7 Hz, 2H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 4.41 (1H,  $-\text{CO}-\text{CH}-\text{Ar}$ ), 6.77 (d, J = 2.5 Hz, 1H, aromatic H), 6.91 (doublet, J = 2.5 and 9 Hz, 1H, aromatic H), 8.18 (d, J = 8 Hz, 1H, aromatic H). (Found: C, 56.0; H, 5.2. Calc. for  $\text{C}_{13}\text{H}_{14}\text{N}_2\text{O}_5$ , M = 278.26: C, 56.11; H, 5.07%).

**1,3 - Dimethyl - 3 - (2 - nitro - 5 - ethoxyphenyl) - succinimide 23** was prepared from **22** (1.95 g, 7.0 mmole) according to the procedure described for the synthesis of **9**; yield: 85%; m.p. 107–109° ( $\text{EtOH}$ ). IR( $\text{CHCl}_3$ ): 1770(w), 1700(vs) (imide-CO), 1530(s), 1350(s) ( $\text{NO}_2$ );  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$ , 1.44 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 1.73 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.69 (A part AB system, J = 17.5 Hz, 1H,  $-\text{N}-\text{CH}_3$ ), 3.04 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 3.13 (B part AB system, J = 17.5 Hz, 1H,  $-\text{CH}-\text{CO}$ ), 4.11 (q, J = 7 Hz, 2H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 6.86 (doublet, J = 2.5 and 9 Hz, 1H, aromatic H), 7.11 (d, J = 2.5 Hz, 1H, aromatic H), 8.03 (d, J = 9 Hz, 1H, aromatic H). MS:  $m/e$  = 246(100%), 292(18)  $\text{M}^+$ . (Found: C, 57.5; H, 5.5. Calc. for  $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_5$ , M = 292.28: C, 57.53; H, 5.52%).

**1,4 - Dimethyl - 4 - (2 - nitro - 5 - ethoxyphenyl) - 5 - hydroxy - 2 - pyrrolidinone 24.** Compound **23** (0.79 g, 2.71 mmole) was reduced in a mixture of  $\text{EtOH}$  (150 ml) and THF (25 ml) with 1.47 g  $\text{NaBH}_4$  at 0° for 4 hr according to the procedure described.<sup>24</sup> The crude product (0.76 g) was according to  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) a mixture of **24** and **25** in a ratio 3:1. After crystallization from  $\text{EtOAc}$  pure **24** (0.48 g) was obtained; yield: 60%; m.p. 176–181° ( $\text{EtOAc}$ ). IR(KBr): 3100(m) (OH), 1650(vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{DMSO}-d_6)$ , 1.39 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 1.44 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 2.42 (AB system, J = 16.5 Hz, 2H,  $-\text{CH}_2-\text{CO}$ ), 2.71 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 4.15 (q, J = 7 Hz, 2H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 5.25 (d, J = 7 Hz, 1H,  $-\text{N}-\text{CH}-\text{OH}$ ; becomes a s with  $\text{D}_2\text{O}$  added), 6.88 (d, J = 7 Hz, 1H,  $-\text{OH}$ ); disappears with  $\text{D}_2\text{O}$  added), 6.96–7.80 (3H, aromatic H). (Found: C, 57.2; H, 6.1; N, 9.6. Calc. for  $\text{C}_{14}\text{H}_{18}\text{N}_2\text{O}_5$ , M = 294.30: C, 57.13; H, 6.17; N, 9.52%).

**1,3a - Dimethyl - 5 - ethoxy - 8 - formyl - 3,3a,8,8a - tetrahydro - pyrrolo[2,3-b]indol - 2 - one 26** was prepared from **24** (0.196 g, 0.67 mmole) according to the procedure described for the synthesis of **20**; yield: 74%; m.p. 149–151° ( $\text{CH}_2\text{Cl}_2$ /ether). IR( $\text{CHCl}_3$ ): 1670(vs) (lactam-CO);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$  (Table 1). (Found: C, 65.8; H, 6.7; N, 10.3. Calc. for  $\text{C}_{15}\text{H}_{18}\text{N}_2\text{O}_3$ , M = 274.31: C, 65.67; H, 6.61; N, 10.21%).

*dl*-Eserethole **1d** was prepared from **26** (0.136 g, 0.50 mmole) according to the procedure described for the synthesis of *dl*-**1b**; yield 75%; m.p. 34–37° (lit. 38°<sup>25</sup>). IR( $\text{CHCl}_3$ ): 1600(w), 1500(m) (aromatic);  $^1\text{H}$  NMR:  $\delta(\text{CDCl}_3)$ , 1.36 (t, J = 7 Hz, 3H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 1.44 (s, 3H,  $-\text{C}-\text{CH}_3$ ), 1.95 (m, 2H,  $-\text{C}-\text{CH}_2-\text{C}-$ ), 2.55 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 2.70 (m, 2H,  $-\text{N}-\text{CH}_2-$ ), 2.90 (s, 3H,  $-\text{N}-\text{CH}_3$ ), 3.95 (q, J = 7 Hz, 2H,  $-\text{O}-\text{CH}_2-\text{CH}_3$ ), 4.05 (s, 1H,  $-\text{N}-\text{CH}-\text{N}-$ ), 6.34 (1H, aromatic H), 6.66 (2H, aromatic H). A picrate of m.p. 153–156° ( $\text{EtOH}$ ) (lit. 150–151°<sup>26</sup> 155°<sup>27</sup>) was analyzed. (Found: C, 52.9; H, 5.3; N, 14.8. Calc. for  $\text{C}_{21}\text{H}_{23}\text{N}_5\text{O}_8$ , M = 475.45: C, 53.05; H, 5.30; N, 14.73%).

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†Compound **20** could be converted into **15** upon refluxing of a soln of the former in  $\text{EtOH}$  to which a catalytic amount of acid was added in quantitative yield.

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